

Annalise Beube

From: UW - Madison Public Records <universityofwisconsin@mycusthelp.net>
Sent: Wednesday, March 23, 2022 7:19 AM
To: S&G Information Request Staff
Subject: Aaron Siri Siri & Glimstad LLP Public Records Request :: P002044-011422

Follow Up Flag: Follow up
Flag Status: Flagged

--- Please respond above this line ---



Public Records Request: P002044-011422

RE: PUBLIC RECORDS REQUEST of January 14, 2022.

Reference # P002042-011422 and Reference # P002044-011422.

Dear Requester,

I write in response to your public records requests of January 14, 2022. Please note that Kelsey Florek and Allen Bateman are university employees in that the Wisconsin State Laboratory of Hygiene (WSLH) is part of the university. You requested the following:

1.) P002042-0011422: All communications sent or received by Kasen K. Riemersma, DVM, PhD; Peter J. Halfmann, PhD; Yoshihiro Kawaoka, DVM, PhD; Thomas C. Friedrich, PhD; David H. O'Connor, PhD; and/or Ryan Westergaard, MD, PhD regarding "Shedding of Infectious SARS-CoV-2 Despite Vaccination" available online at <https://www.medrxiv.org/content/10.1101/2021.07.31.21261387v4> and attached hereto as Exhibit A.

2.) P002044-011422: All communications sent or received by Kelsey R. Florek, MPH, PhD and/or Allen Bateman, PhD regarding “Shedding of Infectious SARS-CoV-2 Despite Vaccination” available online at: medrxiv.org/content/10.1101/2021.07.31.21261387v4 and attached hereto as Exhibit A.

Note that since you did not provide exact search terms nor a time frame in which to search, this request is insufficient under Wisconsin’s public records law because it does not clearly identify or reasonably limit the universe of records you are requesting. To be sufficient, a request must have “a reasonable limitation as to subject matter and length of time” and must reasonably describe the records you are requesting. Wis. Stat. § 19.35(1)(h). This is intended to prevent the records custodian from having to guess at what records a requester desires, and to avoid imposition of undue burdens on the university that would impair the functioning of the offices necessary to process public records requests.

However, in the interest of transparency we searched in the email accounts of the above listed individuals on the phrase “Shedding of Infectious SARS-CoV-2 Despite Vaccination” and used the time frame you provided in requests Reference Numbers P002043-011422 and P002045-011422 which is June 1, 2021 to January 14, 2022.

These records have been released to the University of Wisconsin-Madison Public Records Center. Click the link below to login and retrieve your records.

[Access the Public Records Center to download the responsive records.](#)

Note that redactions have been made to these records for the following reasons:

1. Log-in information and passcodes. The university has redacted links to protected sites and other information that could potentially provide unauthorized access to password protected sites. We did so after performing the balancing test inherent in the public records law and determining that the public interest in access to such administrative information is outweighed by the public interest in keeping that information private, especially when that information is tangential to the subject of the request and unrelated to the substantive conduct of government business.

1. Drafts. The university denies access to drafts. Under Wis. Stat. § 19.32(2), a record under the public records law "does not include drafts, notes, preliminary computations, and like materials."
2. Personal contact information. The university has redacted personal contact information such as email addresses or personal cell phone numbers of its employees per § 19.36(10)(a), Wis. Stats.
3. Purely personal content. Purely personal content has been redacted from these records pursuant to the balancing test. We have evaluated information in this category in light of the purpose of Wisconsin's public records law, which is to support an informed electorate by providing persons with "information regarding the affairs of government and the official acts of those officers and employees who represent them." (Emphasis in original). *Schill v. Wisconsin Rapids School District*, 2010 WI 86 ¶180, 327 Wis. 2d 572, 786 N.W. 2d 177 (citing Wis. Stat. § 19.31). In *Schill*, the Wisconsin Supreme Court stated that the public disclosure of emails containing purely personal content, as opposed to those containing the affairs of government or the official acts of its employees, "is neither a proper nor an intended effect of the Public Records Law...". *Id.* at ¶178. Thus, content, not medium or format, determines how a document is treated under the public records law. *Id.* at ¶¶110 and 113. In applying the balancing test, we have concluded that any public interest in the disclosure of this purely personal information is outweighed by public policies recognizing the privacy interest of individuals in matters unrelated to the conduct of government business. The public has little interest in the release of personal information unrelated to the function of government, and has a weightier interest in the protection of some minimum amount of privacy being afforded to state employees. See *Schill v. Wisconsin Rapids School District*, 2010 WI 86 ¶ 9 & n. 4 (Abrahamson, C. J., lead op.); *id.* ¶ 148 & n. 2 (Bradley, J., concurring); *id.* ¶ 173 & n. 4 (Gableman, J., concurring), 327 Wis. 2d 572, 786 N.W. 2d 177.

The university is required to inform you that to the extent this amounts to a denial of your request it is subject to review by mandamus under Wis. Stat. § 19.37(1)(a) or by application to the attorney general or district attorney pursuant to Wis. Stat. § 19.37(1)(b).

The university has fully responded to your request and it is now closed.

Sincerely,

Lisa Hull

Public Records Custodian

Office of Compliance

UW-Madison

[Access the Public Records Center to View the Request](#)



From: medicine@us.nature.com
To: [Bateman, Allen](#)
Subject: NMED-BC116676 Receipt of New Paper by Nature Medicine
Date: Tuesday, November 2, 2021 2:04:53 PM

Dear Dr. Bateman,


Please note that you are listed as a co-author on the manuscript "Shedding of Infectious SARS-CoV-2 Despite Vaccination" (reference number: NMED-BC116676), which was recently submitted to Nature Medicine.


The corresponding author is solely responsible for communicating with the journal and managing communication between co-authors. Please contact the corresponding author directly with any queries you may have related to this manuscript.

You can now use a single sign-on for all your accounts, view the status of all your manuscript submissions and reviews, access usage statistics for your published articles and download a record of your refereeing activity for the Nature journals. Please check your account regularly and ensure that we have your current contact information.

In addition, Springer Nature encourages all authors and reviewers to associate an Open Researcher and Contributor Identifier (ORCID) to their account. ORCID is a community-based initiative that provides an open, non-proprietary and transparent registry of unique identifiers to help disambiguate research contributions.

Should you wish to publish your ORCID with this manuscript, please use the link below to access your account listed as co-author and attach your ORCID following

 >these instructions. Please note that it must be linked prior to acceptance, it will not be possible to add/modify ORCID's at proof, and you may not receive further notification before an accept decision is made. Please ensure the ORCID is linked to your account associated with this manuscript, and not to any other account you may have on our system.

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Many thanks,

Editorial Assistant
Nature Medicine
medicine@us.nature.com

Our flexible approach during the COVID-19 pandemic

If you need more time at any stage of the peer-review process, please do let us know. While our systems will continue to remind you of the original timelines, we aim to be as flexible as possible during the current pandemic.

This email has been sent through the Springer Nature Tracking System NY-610A-NPG&MTS

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This e-mail is confidential and subject to copyright. Any unauthorised use or disclosure of its contents is prohibited. If you have received this email in error please notify our Manuscript Tracking System Helpdesk team at <http://platformsupport.nature.com> .

Details of the confidentiality and pre-publicity policy may be found here <http://www.nature.com/authors/policies/confidentiality.html>

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From: [New England Journal of Medicine](#)
To: riemersma@wisc.edu; BGrogan@publichealthmdc.com; AKita@publichealthmdc.com; peter.halfmann@wisc.edu; Qdz0@cdc.gov; anna.kocharian@dhs.wisconsin.gov; [Florek, Kelsey](#); rpw@medicine.wisc.edu; [Bateman, Allen](#); gjeppson@exactsciences.com; yoshihiro.kawaoka@wisc.edu; dhoconno@wisc.edu; tfriedri@wisc.edu; kgrande@publichealthmdc.com
Subject: New England Journal of Medicine - 21-14060
Date: Monday, August 23, 2021 1:39:10 PM

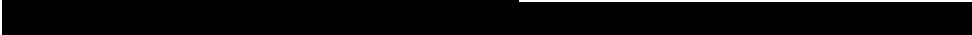
Dear Ms. Grande and co-authors,

Thank you for submitting your manuscript, "Shedding of Infectious SARS-CoV-2 Despite Vaccination" to the New England Journal of Medicine.

Your manuscript has been forwarded to members of our editorial staff, who will make an initial evaluation and decide whether it merits further consideration. You will be notified of the decision as soon as possible.

Your manuscript ID is 21-14060.

Please mention the above manuscript ID in all future correspondence or when calling the office for questions. If there are any changes in your street address or e-mail address, please log in to ScholarOne Manuscripts at

 and edit your user information as appropriate. You may also view the status of your manuscript at any time by checking For Authors section of the site.

We are undertaking evaluation of your manuscript with the understanding that neither the substance of the article nor the figures or tables have been published or will be submitted for publication elsewhere during the period of review.

Please provide the editors with copies of other manuscripts by you or your coauthors addressing similar or related research questions that are in preparation or under consideration at other journals. This does not apply to abstracts published in connection with scientific meetings or to news reports based on presentations at such meetings.

The Journal's policy is explained more fully at https://mg1.slh.wisc.edu/enduser/classify_url.html?url=ZilF+q6BrT3wwIGPRG5GnV6JBuailSlkFYskNYR1kOk3s8zpaioCwLkr3AE+9CSZclqyFCR1dK4k3QAq3m//1A==.

Please call us at 617-734-9800 if you have any questions.

Sincerely,

New England Journal of Medicine
10 Shattuck Street
Boston, MA 02115
(617) 734-9800
Fax: (617) 739-9864

https://mg1.slh.wisc.edu/enduser/classify_url.html?url=D0ugbUkUXYhbgZaZ5466DGLjno0CmQuxKC6/9TFFis=

From: em.ppathogens.0.77467c.eff3e03c@editorialmanager.com on behalf of [PLOS Pathogens](#)
To: [Allen Bateman](#)
Subject: PLOS Pathogens: Submission confirmation for PPATHOGENS-D-21-02286 - [EMID:afbd2b2acb21bb18]
Date: Thursday, November 11, 2021 4:58:53 PM

You are being carbon copied ("cc:'d") on an e-mail "To" "Katarina M Grande"
kgrande@publichealthmdc.com
CC: "Kasen K. Riemersma" riemersma@wisc.edu, "Brittany E. Grogan"
bgrogan@publichealthmdc.com, "Amanda Kita-Yarbro" akita@publichealthmdc.com, "Peter
J. Halfmann" peter.halfmann@wisc.edu, "Hannah E. Segaloff"
hannah.segaloff@dhs.wisconsin.gov, "Anna Kocharian" anna.kocharian@dhs.wisconsin.gov,
"Kelsey R. Florek" kelsey.florek@slh.wisc.edu, "Ryan Westergaard"
ryan.westergaard@dhs.wisconsin.gov, "Allen Bateman" acbateman@wisc.edu, "Gunnar E.
Jeppson" gjeppson@exactsciences.com, "Yoshihiro Kawaoka" yoshihiro.kawaoka@wisc.edu,
"David H. O'Connor" dhoconno@wisc.edu, "Thomas C. Friedrich" tfriedri@wisc.edu

Dear Ms. Grande,

Your submission entitled "Shedding of Infectious SARS-CoV-2 Despite Vaccination" has been received by PLOS Pathogens. You will be able to check on the progress of your paper by logging on to Editorial Manager as an author. The URL is <https://www.editorialmanager.com/ppathogens/>.

Best,

PLOS Pathogens Staff
plospathogens@plos.org

In compliance with data protection regulations, you may request that we remove your personal registration details at any time. ([Remove my information/details](#)). Please contact the publication office if you have any questions.

From: [Basecamp \(University of WI - Madison\)](#)
To: [Florek, Kelsey](#)
Subject: Basecamp (University of WI - Madison): Here's the latest activity
Date: Friday, December 17, 2021 9:05:12 AM



University of WI - Madison Here's the latest activity across everything

Since 9am on Thursday, December 16

m: Shedding of Infectious SARS-CoV-2 Despite Vaccination

This was added to [2021-12-16 draft](#)

Read draft Assigned to: Dave O.

Basecamp emails this report every morning. [Stop sending it to me.](#)

Get easier, faster access to Basecamp when it's on your phone. Grab an app!



From: [Basecamp \(University of WI - Madison\)](#)
To: [Florek, Kelsey](#)
Subject: Basecamp (University of WI - Madison): Here's the latest activity
Date: Saturday, January 8, 2022 9:05:28 AM



University of WI - Madison

Here's the latest activity across everything

Since 9am on Friday, January 07

g: CDC BAA regional phylodynamics

Something was added to Docs & Files

 Dave O. linked up [White paper text](#)
 [White paper text](#) • [View on Google](#)

m: Shedding of Infectious SARS-CoV-2 Despite Vaccination

This was checked off on [2021-12-16 draft](#)

Read draft Assigned to: Dave O.

This was checked off on [Cover letter](#)

Suggested and excluded reviewers?

This was checked off on [Acknowledgements](#)

Make sure funding and ethical approvals are correctly acknowledged in letter format. Assigned to: Dave O. and Thomas F.

This was added to [2021-12-16 draft](#)

Follow-up with PLOS Pathogens Due: Jan 17 • Assigned to: Katarina G.

SARS-CoV-2 sequencing

These were checked off on [Automation of ONT and Illumina library prep](#)

Send video of ONT workflow to Josh and colleagues Assigned to: Gage M. and Kat B.

Schedule time for UWBC to get ARTICv3 primers for prototyping automation
Assigned to: Gage M. and Kat B.

This was checked off on [Accelerate data processing](#)

Automate Excel metadata files Assigned to: David B.

These were checked off on [2021-1-18 huddle](#)

Determine percentage of sequences circulating in UW that contain problematic variants for Exact's... Assigned to: Gage M.

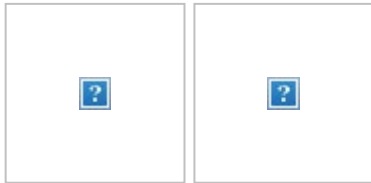
Reach out to IRB office about following up interesting cases Assigned to: Gage M. and Kat B.

This was added to [UWHC sequencing](#)

Compare Delta and Omicron sequences in Dec 30-31 UWHC inpatient sequences (26726) Due: Jan 8 • Assigned to: Dave O.

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From: medicine@us.nature.com
To: [Florek, Kelsey](#)
Subject: NMED-BC116676 Receipt of New Paper by Nature Medicine
Date: Tuesday, November 2, 2021 2:04:53 PM

Dear Dr. Florek,


Please note that you are listed as a co-author on the manuscript "Shedding of Infectious SARS-CoV-2 Despite Vaccination" (reference number: NMED-BC116676), which was recently submitted to Nature Medicine.


The corresponding author is solely responsible for communicating with the journal and managing communication between co-authors. Please contact the corresponding author directly with any queries you may have related to this manuscript.


You can now use a single sign-on for all your accounts, view the status of all your manuscript submissions and reviews, access usage statistics for your published articles and download a record of your refereeing activity for the Nature journals. Please check your account regularly and ensure that we have your current contact information.

In addition, Springer Nature encourages all authors and reviewers to associate an Open Researcher and Contributor Identifier (ORCID) to their account. ORCID is a community-based initiative that provides an open, non-proprietary and transparent registry of unique identifiers to help disambiguate research contributions.

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Many thanks,

Editorial Assistant
Nature Medicine
medicine@us.nature.com

Our flexible approach during the COVID-19 pandemic

If you need more time at any stage of the peer-review process, please do let us know. While our systems will continue to remind you of the original timelines, we aim to be as flexible as possible during the current pandemic.

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From: [New England Journal of Medicine](#)
To: riemersma@wisc.edu; BGrogan@publichealthmdc.com; AKita@publichealthmdc.com; peter.halfmann@wisc.edu; Qdz0@cdc.gov; anna.kocharian@dhs.wisconsin.gov; [Florek, Kelsey](#); rpw@medicine.wisc.edu; [Bateman, Allen](#); gjeppson@exactsciences.com; yoshihiro.kawaoka@wisc.edu; dhoconno@wisc.edu; tfriedri@wisc.edu; kgrande@publichealthmdc.com
Subject: New England Journal of Medicine - 21-14060
Date: Monday, August 23, 2021 1:39:10 PM

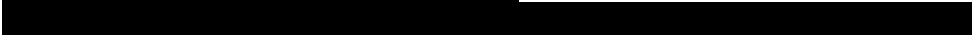
Dear Ms. Grande and co-authors,

Thank you for submitting your manuscript, "Shedding of Infectious SARS-CoV-2 Despite Vaccination" to the New England Journal of Medicine.

Your manuscript has been forwarded to members of our editorial staff, who will make an initial evaluation and decide whether it merits further consideration. You will be notified of the decision as soon as possible.

Your manuscript ID is 21-14060.

Please mention the above manuscript ID in all future correspondence or when calling the office for questions. If there are any changes in your street address or e-mail address, please log in to ScholarOne Manuscripts at

 and edit your user information as appropriate. You may also view the status of your manuscript at any time by checking For Authors section of the site.

We are undertaking evaluation of your manuscript with the understanding that neither the substance of the article nor the figures or tables have been published or will be submitted for publication elsewhere during the period of review.

Please provide the editors with copies of other manuscripts by you or your coauthors addressing similar or related research questions that are in preparation or under consideration at other journals. This does not apply to abstracts published in connection with scientific meetings or to news reports based on presentations at such meetings.

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Please call us at 617-734-9800 if you have any questions.

Sincerely,

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10 Shattuck Street
Boston, MA 02115
(617) 734-9800
Fax: (617) 739-9864

https://mg1.slh.wisc.edu/enduser/classify_url.html?url=D0ugbUkUXYhbgZaZ5466DGLjno0CmQuxKC6/9TFFis=

From: [New England Journal of Medicine](#)
To: [Florek, Kelsey](#)
Subject: New England Journal of Medicine Letter NOT about NEJM Article 21-14060: Account Created for you in ScholarOne Manuscripts
Date: Monday, August 23, 2021 1:39:07 PM

Dear Dr. Florek:

A submission entitled Shedding of Infectious SARS-CoV-2 Despite Vaccination (21-14060) has been submitted by Ms. Katarina Grande to the New England Journal of Medicine.

You are listed as a co-author for this manuscript. The online peer-review system, ScholarOne Manuscripts, automatically created a user account for you. Your USER ID and PASSWORD for your account is as follows:

Site URL: [REDACTED]

USER ID: [REDACTED]

PASSWORD: For security reasons your password is not contained in this e-mail. To set your password click the link below.

[REDACTED]

You can use the above USER ID and PASSWORD (once set) to log in to the site and check the status of papers you have authored/co-authored. Please log in to [REDACTED] to update your account information via the edit account tab at the top right.

If you believe you already have an account with us and this is a duplicate account, or if you do not wish to have an account with the New England Journal of Medicine, please contact us and we will be happy to assist you.

Thank you for your participation.

Sincerely,

Editorial Office

New England Journal of Medicine
10 Shattuck Street
Boston, MA 02115
(617) 734-9800
Fax: (617) 739-9864

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From: em.ppathogens.0.77467c.fff3e03c@editorialmanager.com on behalf of [PLOS Pathogens](#)
To: [Florek, Kelsey](#)
Subject: PLOS Pathogens: Please confirm your authorship - [EMID:85ba964de35f97ff]
Date: Thursday, November 11, 2021 4:58:44 PM

Dear Florek,

You are receiving this email because Ms. Katarina M Grande listed you as an author on the manuscript titled "Shedding of Infectious SARS-CoV-2 Despite Vaccination," recently submitted to PLOS Pathogens.

All co-authors MUST confirm their authorship before a manuscript can be accepted for publication.

Click this link to CONFIRM your authorship and/or add your ORCID to this submission now:

[REDACTED]

The Corresponding Author has entered your name into our online submission system as indicated below. Please ensure your name is entered correctly, as this impacts indexing. If your name is not entered correctly, please confirm your authorship and then email the journal office at plospathogens@plos.org.

First Name: [REDACTED]
Middle Name: [REDACTED]
Last Name: [REDACTED]

Alternatively, if you are not aware of this submission, or if you should not be listed as a co-author, then please contact the journal office at plospathogens@plos.org.

We appreciate your timely response. The abstract follows below, for your reference.

Kind regards,

PLOS Pathogens Staff
plospathogens@plos.org

Manuscript Title:
Shedding of Infectious SARS-CoV-2 Despite Vaccination

Article Type:
Research Article

Authors:
Katarina Maria Grande; Kasen K. Riemersma; Brittany E. Grogan; Amanda Kita-Yarbro; Peter J. Halfmann; Hannah E. Segaloff; Anna Kocharian; Kelsey R. Florek; Ryan Westergaard; Allen Bateman; Gunnar E. Jeppson; Yoshihiro Kawaoka; David H. O'Connor; Thomas C. Friedrich

Abstract:
The SARS-CoV-2 Delta variant is highly transmissible and contains mutations that confer partial immune escape. We compared RT-PCR cycle threshold (Ct) data from 699 test-positive anterior nasal swab specimens from fully vaccinated (n = 310) or unvaccinated (n=389) individuals. We observed low Ct values (<25) in 212 of 310 fully vaccinated (68%) and 246 of 389 (63%) unvaccinated individuals. Testing a subset of these low-Ct samples revealed infectious SARS-CoV-2 in 15 of 17 specimens (88%) from unvaccinated individuals and 37 of 39 (95%) from vaccinated people. To determine whether infectious virus titers differed in vaccinated and unvaccinated persons, we performed plaque assays on an additional set of 48 samples with Ct <25, finding no difference in infectious virus titer between groups.

In compliance with data protection regulations, you may request that we remove your personal registration details at any time. (Use the following URL: [REDACTED])

[REDACTED] Please contact the publication office if you have any questions.

From: em.ppathogens.0.77467c.eff3e03c@editorialmanager.com on behalf of [PLOS Pathogens](#)
To: [Florek, Kelsey](#)
Subject: PLOS Pathogens: Submission confirmation for PPATHOGENS-D-21-02286 - [EMID:afbd2b2acb21bb18]
Date: Thursday, November 11, 2021 4:58:44 PM

You are being carbon copied ("cc:'d") on an e-mail "To" "Katarina M Grande"
kgrande@publichealthmdc.com
CC: "Kasen K. Riemersma" riemersma@wisc.edu, "Brittany E. Grogan"
bgrogan@publichealthmdc.com, "Amanda Kita-Yarbro" akita@publichealthmdc.com, "Peter
J. Halfmann" peter.halfmann@wisc.edu, "Hannah E. Segaloff"
hannah.segaloff@dhs.wisconsin.gov, "Anna Kocharian" anna.kocharian@dhs.wisconsin.gov,
"Kelsey R. Florek" kelsey.florek@slh.wisc.edu, "Ryan Westergaard"
ryan.westergaard@dhs.wisconsin.gov, "Allen Bateman" acbateman@wisc.edu, "Gunnar E.
Jeppson" gjeppson@exactsciences.com, "Yoshihiro Kawaoka" yoshihiro.kawaoka@wisc.edu,
"David H. O'Connor" dhoconno@wisc.edu, "Thomas C. Friedrich" tfriedri@wisc.edu

Dear Ms. Grande,

Your submission entitled "Shedding of Infectious SARS-CoV-2 Despite Vaccination" has been received by PLOS Pathogens. You will be able to check on the progress of your paper by logging on to Editorial Manager as an author. The URL is <https://www.editorialmanager.com/ppathogens/>.

Best,

PLOS Pathogens Staff
plospathogens@plos.org

In compliance with data protection regulations, you may request that we remove your personal registration details at any time. ([Remove my information/details](#)). Please contact the publication office if you have any questions.