## [EXTERNAL] Courtesy copy

From: Michael Fitzpatrick < Ex 6 - (5 @google.com>

To: "Mancini, Dominic J. EOP/OMB" < Ex 6 - (5 U.S.C. Sec 552(b)(6)) >, "Palmieri,

Rosario A. EOP/OMB" < Ex 6 - (5 U.S.C. Sec , "Hunt, Alex T. EOP/OMB"

<Ex 6 - (5 U.S.C. Sec 552(b)(6)) >

Date: Wed, 05 Aug 2020 12:49:59 -0400

Attachments Industry group letter to OMB Director re Al regulatory guidance memo, 8-5-20.pdf

: (298.19 kB)

Gents -

Hope this letter of support helps move the process forward quickly. Thanks as always for all your terrific work.

Michael.



Michael Fitzpatrick

Head of Global Regulatory Affairs

Ex 6 - (5 @google.com

Ex 6 - (5 U.S.C.

### [EXTERNAL] Re: Google's OMB AI comments

From: Michael Fitzpatrick < Ex 6 - (5 @google.com>

To: "Mancini, Dominic J. EOP/OMB" < Ex 6 - (5 U.S.C. Sec 552(b)(6)) >, "Palmieri,

Rosario A. EOP/OMB" < Ex 6 - (5 U.S.C. Sec >

**Date:** Sun, 15 Mar 2020 12:53:11 -0400

Attachments Google Submission -- Draft OMB Memo - Al Regulatory Principles.pdf (171.05 kB)

:

Whoops. Gents - I now attach a PDF of the Google comments. There is also a link to the comments in the body of the email chain below. For some reason (mistake, not vanity), I initially attached my professional bio which is right near the comments in my G-Drive!

Michael.

On Fri, Mar 13, 2020 at 6:36 PM Michael Fitzpatrick < Ex 6 - (5 U.S.C. Sec Dorn and Rosario -

I wanted to let you know that Google has submitted comments (attached, which will also be posted <a href="here">here</a>) on the OMB AI regulatory principles. I think you'll find them to be favorable toward the Administration's draft memo, and we offer some constructive suggestions. As you and I have discussed Dom, we think the framework strikes the right balance, and we're grateful for OIRA's leadership in this space. Let me know if you all have any questions. We look forward to engaging on this further! Please feel free to pass along this note to Paul and others on the AI team.

Best,

Michael.



Michael Fitzpatrick
Head of Global Regulatory Affairs
Ex 6 - (5 U.S.C. Sec
Ex 6 - (5 U.S.C. Sec
552(b)(6))

### [EXTERNAL] Fwd: UK charts post-Brexit path with AI strategy

From Michael Fitzpatrick < Ex 6 - (5 U.S.C. Sec ...

To: "Mancini, Dominic J. EOP/OMB" <Ex 6 - (5 U.S.C. Sec 552(b)(6)) >, "Hunt, Alex T.

EOP/OMB" < Ex 6 - (5 U.S.C. Sec 552(b)(6)) >

Date: Wed, 22 Sep 2021 14:31:03 -0400

Just FYI - seems pretty aligned with the US/OMB approach.

M.

----- Forwarded message ------

From: POLITICO Pro Technology < Ex 6 - (5 U.S.C.

Date: Wed, Sep 22, 2021 at 2:08 PM

Subject: UK charts post-Brexit path with AI strategy

To: <mifitzpatrick@google.com>

#### UK charts post-Brexit path with AI strategy

By Melissa Heikkilä · September 22, 2021 8:05 PM · View in your browser

The U.K. wants the world to know that unlike in Brussels, it will not bother Al innovators with regulatory drama.

While the EU frets about risky AI and product safety, the U.K.'s AI strategy, unveiled on Wednesday, promised to create a "pro-innovation" environment that will make the country an attractive place to develop and deploy artificial intelligence technologies, all the while keeping regulation "to a minimum," according to digital minister Chris Philp.

The U.K.'s strategy, which markedly contrasts the EU's own AI proposed rules, indicates that it's embracing the freedom that comes from not being tied to Brussels, and that it's keen to ensure that freedom delivers it an economic boost.

In the strategy, the country sets outs how the U.K. will invest in AI applications and help other industries integrate artificial intelligence into their operations. Absent from the strategy are its plans on how to regulate the tech, which has already demonstrated potential harms, like the exams scandal last year in which an algorithm downgraded students' predicted grades.

The government will present its plans to regulate AI early next year.

Speaking at an event in London, Philp said the U.K. government wants to take a "pro-innovation" approach to regulation, with a light-touch approach from the government.

"We intend to keep any form of regulatory intervention to a minimum," the minister said. "We will seek to use existing structures rather than setting up new ones, and we will approach the issue with a permissive mindset, aiming to make innovation easy and straightforward, while avoiding any public harm while there is clear evidence that exists."

Despite the strategy's ambition, the lack of specific policy proposals in it means industry will be watching out for what exactly a "pro-innovation" policy will look like, according tech lobby TechUK's Katherine Holden.

"The U.K. government is trying to strike some kind of healthy balance within the middle, recognizing that there's the need for appropriate governance and regulatory structures to be put in place... but make sure it's not at the detriment of innovation," said Holden.

#### Break out, or fit in?

The U.K.'s potential revisions to its data protection rules are one sign of what its strategy entails. The country is considering scrapping a rule that prohibits automated decision-making without human oversight, arguing that it stifles innovation.

Like AI, the government considers its data policy as an instrument to boost growth, even as a crucial data flows agreement with the EU relies on the U.K. keeping its own data laws equivalent to the EU's.

A divergent approach to AI regulation could make it harder for U.K.-based AI developers to operate in the EU, which will likely finalize its own AI laws next year.

"If this is tending in a direction which is diverging substantially from EU proposals on AI, and indeed the GDPR [the EU's data protection rules] itself, which is so closely

linked to AI, then we would have a problem," said Timothy Clement-Jones, a former chair of the House of Lords' artificial intelligence liaison committee.

Clement-Jones was also skeptical of the U.K.'s stated ambition to become a global AI standard-setter. "I don't think the U.K. has got the clout to determine the global standard," Clement-Jones said. "We have to make sure that we fit in with the standards" he said, adding that the U.K. needs to continue to work its AI diplomacy in international fora, such as at the OECD and the Council of Europe.

In its AI Act, the European Commission also sets out a plan to boost AI innovation, but it will strictly regulate applications that could impinge on fundamental rights and product safety, and includes bans for some "unacceptable" uses of artificial intelligence such as government-conducted social scoring. The EU institutions will be far along in their legislative work on AI by the time the U.K. comes out with its own proposal.

"Depending on the timeline for AI regulation pursued by Brussels, the U.K. runs the risk of having to harmonize with EU AI rules if it doesn't articulate its own approach soon," said Carly Kind, the director of the Ada Lovelace Institute, which researches AI and data policy.

"If the UK wants to live up to its ambition of becoming an AI superpower, the development of a clear approach to AI regulation needs to be a priority," Kind said.

| Technology                  |  |
|-----------------------------|--|
| Algorithms                  |  |
| Artificial Intelligence     |  |
| Certification and standards |  |
| Data                        |  |
| Data flows                  |  |
| Data protection             |  |
| Governance                  |  |
| Growth                      |  |
| Industry                    |  |
| Innovation                  |  |
| Regulation                  |  |
| Research and Development    |  |
| Risk and compliance         |  |

Safety
Chris Philp
Council of Europe
European Commission
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### [EXTERNAL] Google's Framework for Responsible Al

### Regulation

Hi Gents -

I wanted to forward a document my Reg Team and I just completed setting out our framework for responsible Al regulation. It is meant to apply to regulators globally and, in our view, is consistent with and supportive of the very good approaches contained in the OMB memo on Al regulation. Happy to discuss further and hope you are all staying safe and well.

Michael.



### [EXTERNAL] Just FYI

Hi Gents -

Hope each of you and your families are staying safe and well and finding some time to get away.

Just wanted to put the attached Congressional letter at the top of your in-box -- a strong and very bipartisan statement of support (a rarity these days) of the draft OMB AI memo and encouragement for speedy finalization. Hope this is helpful to the effort.

Cheers. Michael.



# [EXTERNAL] Re: Al Memo

| From:      | Michael Fitzpatrick < Ex 6 - (5 U.S.C. Sec                                     |
|------------|--|
| To:        | "Hunt, Alex T. EOP/OMB" < Ex 6 - (5 U.S.C. Sec 552(b)(6))                      |
| Date:      | Tue, 17 Nov 2020 17:31:43 -0500  |
| Thx again  |  |
| Congrats   | Ex 6 - (5 U.S.C. Sec 552(b)(6))  |
| On Tue, No | ov 17, 2020 at 4:57 PM Hunt, Alex T. EOP/OMB <                                 |
| wrote:     |  |
| Hi Mike -  | We just posted the final, signed Al memo. It is attached and here is the link: |
| https://ww | vw.whitehouse.gov/wp-content/uploads/2020/11/M-21-06.pdf                       |
|            |  |
| Thanks fo  | or your patience!  |
|            |  |
| Best,      |  |
|            |  |
| Alex       |  |
| AIGV.      |  |